



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,051	12/14/2001	Shinichi Fujii	15162/04200

24367  
SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201



CONFIRMATION NO. 4486

## FORMALITIES LETTER



\*OC000000007367920\*

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE.

03/19/2002 HNHANN1 00000067 181260 10020051

01 FC:105 130.00 CH



03-14-02

\$ Receipt  
3

Attorney Docket No.: 15162/04200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: Shinichi FUJII, Yasuhiro MORIMOTO,  
Keiji TAMAI, Masataka HAMADA,  
Naotaka KISHIDA, and Kazumi YUKAWA,  
Legal Representative of Kazuhiko  
YUKAWA (Deceased)

For: APPARATUS AND METHOD FOR  
CONTROLLING OPTICAL SYSTEM

Confirmation No.: 4486  
U.S. Serial No.: 10/020,051  
Filed: December 14, 2001  
Group Art Unit: 2622  
Examiner: To Be Assigned

**Box Missing Parts**  
Assistant Director for Patents  
Washington, DC 20231

Dear Sir:

Express Mail Mailing Label No.: **EL794567661US**

Date of Deposit: **MARCH 12, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box Missing Parts, Assistant Director for Patents, Washington, DC 20231.

**DERRICK T. GORDON**

Name of Person Mailing Paper or Fee



Signature

**MARCH 12, 2002**

Date of Signature

**COMPLETION OF APPLICATION**

A Notice to File Missing Parts of Nonprovisional Application (copy enclosed) was mailed on January 25,

Application Serial No. 10/020,051

2002, indicating that the Declaration filed with the above-identified U.S. patent application was unexecuted.

Applicants submit herewith the Declaration executed by the Applicants on January 30, 2002, January 30, 2002, January 31, 2002, January 31, 2002, and January 31, 2002, respectively.

Applicant Kazuhiko Yukawa, is deceased, his legal representative, Kazumi Yukawa executed the Declaration on February 22, 2002.

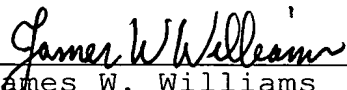
The executed Declaration identifies the Specification by title, Application Serial No., filing date, inventors, and attorney docket number.

A Request for Correction of Filing Receipt is being filed concurrently herewith.

Please charge the \$130 fee to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Please charge any additional fees (other than issue fee) required during the pendency of this application to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this letter is enclosed herewith.

Application Serial No. 10/020,051

Respectfully submitted,

  
\_\_\_\_\_  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW/mhg  
SIDLEY AUSTIN BROWN & WOOD LLP  
717 North Harwood  
Suite 3400  
Dallas, Texas 75201-6507  
(214) 981-3328 (direct)  
(214) 981-3300 (main)  
March 12, 2002



Attorney Docket No.: 15162/04200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

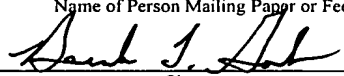
U.S. Application of: Shinichi FUJII, Yasuhiro  
MORIMOTO, Keiji TAMAI, Masataka  
HAMADA, Naotaka KISHIDA, and  
Kazumi YUKAWA, Legal  
Representative of Kazuhiko YUKAWA  
(Deceased)

For: APPARATUS AND METHOD FOR  
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Examiner: To Be Assigned

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Assistant Director for Patents  
Washington, DC 20231

Dear Sir:

Express Mail Mailing Label No.: EL794567661US
Date of Deposit: MARCH 12, 2002
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box Missing Parts, Assistant Director for Patents, Washington, DC 20231.
<b>DERRICK T. GORDON</b> Name of Person Mailing Paper or Fee
 Signature
<b>MARCH 12, 2002</b> Date of Signature

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Applicants hereby request that the filing receipt data of the above-identified U.S. patent application as

listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the Filing Receipt indicating in red that the residence addresses of the second, third, fourth, fifth, and sixth inventors should be corrected as follows:

After "MORIMOTO," , delete "OSAKA-SHI, JAPAN" and insert --TAKATSUKI-SHI, JAPAN--;

After "TAMAI," , delete "OSAKA-SHI, JAPAN" and insert --SUITA-SHI, JAPAN--;

After "HAMADA," , delete "OSAKA-SHI, JAPAN" and insert --OSAKASAYAMA-SHI, JAPAN--;

After "KISHIDA," , delete "OSAKA-SHI, JAPAN" and insert --SAKAI-SHI, JAPAN--; and

Delete "KAZUHIKO YUKAWA, OSAKA-SHI, JAPAN;" , and insert --KAZUHIKO YUKAWA, SAKAI-SHI, JAPAN, DECEASED; KAZUMI YUKAWA, SAKAI-SHI, JAPAN, LEGAL REPRESENTATIVE;--.

Enclosed is a copy of the executed Declaration and Power of Attorney filed concurrently, indicating the correct residence addresses of the second, third, fourth, fifth, and sixth inventors. Also, in the Declaration and Power of Attorney, it is indicated on page 8 that Kazumi Yukawa, Legal Representative of Kazuhiro Yukawa (Deceased), executed the document on behalf of Kazuhiro Yukawa (Deceased) on February 22, 2002.

Also, attached to the executed Declaration and Power of Attorney, is a page entitled ADDITION TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNING LEGAL REPRESENTATIVE ON BEHALF OF DECEASED INVENTOR UNDER 37 CFR 1.42.

The Declaration shows the residential address of Mr. MORIMOTO to be Takatsuki-Shi, Osaka, Japan. Thus, the city of residence is "Takatsuki-Shi", while the prefecture of residence is "Osaka".

The Declaration shows the residential address of Mr. TAMAI to be Suita-Shi, Osaka, Japan. Thus, the city of residence is "Suita-Shi", while the prefecture of residence is "Osaka".

The Declaration shows the residential address of Mr. HAMADA to be Osakasayama-Shi, Osaka, Japan. Thus, the city of residence is "Osakasayama-Shi", while the prefecture of residence is "Osaka".

The Declaration shows the residential address of Mr. KISHIDA to be Sakai-Shi, Osaka, Japan. Thus, the city of residence is "Sakai-Shi", while the prefecture of residence is "Osaka".

The Declaration shows the residential address of Mr. KAZUHIKO YUKAWA (Deceased) and his Legal Representative KAZUMI YUKAWA to be Sakai-Shi, Osaka, Japan. Thus, the city of residence is "Sakai-Shi", while the prefecture of residence is "Osaka".


MPEP 605.02 indicates that the residential address should be "the city and either state or foreign country". Thus, if the Filing Receipt is to be less than that which is recited in the Declaration, it should at least correctly identify the city and country.

Accordingly, it is respectfully requested that the residence addresses for the second, third, fourth, fifth, and sixth inventors concerning the present application be corrected.

U.S. Serial No. 10/020,051

It is believed that no fee is incurred by this filing. However, if it should be determined that a fee is required, please charge any fees (other than an issue fee) required during the pendency of this application to Deposit Account No.18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

  
\_\_\_\_\_  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

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March 12, 2002





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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/020,051	12/14/2001	2622	2684	15162/04200	29	44	21

24367

SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201



JANUARY DOCKETING

FEB 9 4 2002

CONFIRMATION NO. 4486

## FILING RECEIPT



\*OC000000007367919\*

Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shinichi Fujii, Osaka-Shi, JAPAN;  
Yasuhiro Morimoto, ~~Osaka-Shi, JAPAN;~~ Takatsuki-Shi  
Keiji Tamai, ~~Osaka-Shi, JAPAN;~~ Suita-Shi  
Masataka Hamada, ~~Osaka-Shi, JAPAN;~~ Osaka-sayama-Shi  
Naotaka Kishida, ~~Osaka-Shi, JAPAN;~~ Sakai-Shi  
Kazuhiko Yukawa, ~~Osaka-Shi, JAPAN;~~

Kazuhiko Yukawa, Sakai-Shi, Japan, deceased;

Domestic Priority data as claimed by applicant

Kazumi Yukawa, Sakai-Shi, Japan, Legal Representative,

## Foreign Applications

JAPAN 2000-388822 12/21/2000

If Required, Foreign Filing License Granted 01/25/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Apparatus and method for controlling optical system